

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Brian TRIPET et al.Application No./Patent No.: 10/597,914Filed/Issue Date: February 14, 2005 (Int'l)Titled: COMPOSITIONS AND METHODS FOR MODIFICATION AND PREVENTION OF SARS
CORONAVIRUS INFECTIVITYThe Regents of the University of Colorado,
a body corporate

, a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was
recorded in the United States Patent and Trademark Office at Reel _____,
Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Brian TRIPET, Robert S. HODGES To: Regents of the University of Colorado
The document was recorded in the United States Patent and Trademark Office at
Reel 016124, Frame 0910, or for which a copy thereof is attached.
2. From: Brian TRIPET, Robert S. HODGES To: The Regents of the University of Colorado
The document was recorded in the United States Patent and Trademark Office at
Reel 019737, Frame 0247, or for which a copy thereof is attached.
3. From: Kathryn HOLMES To: The Regents of the University of Colorado
The document was recorded in the United States Patent and Trademark Office at
Reel 026106, Frame 0632, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the
assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment
Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

David Allen
Signature

David N. Allen, Ph.D.
Printed or Typed Name

10 May 2014
Date

Associate Vice President
Technology Transfer
Title